



Gyanmanjari
Innovative University

Course Syllabus

Gyanmanjari Institute of Commerce

Semester-7 (B.COM)

Subject: Financial Markets & Institutions – BCOFA17402

Type of course: Major (Core)

Prerequisite:

Students should have a basic understanding of business, accounting, and economics to grasp topics like financial markets, instruments, and institutions.

Rationale:

This syllabus is designed to provide students with comprehensive knowledge of financial markets and institutions in India. It equips students to understand the structure, functioning, and regulation of financial markets and institutions, along with the role of financial instruments in mobilizing resources and promoting economic growth.

Teaching and Examination Scheme:

Teaching Scheme			Credits	Examination Marks			Total Marks
CI	T	P		C	SEE	CCE	
			MSE			ALA	
4	0	0	4	100	30	70	200

Legends: CI-Classroom Instructions; T-Tutorial; P-Practical; C-Credit; SEE-SemesterEndEvaluation; MSE- Mid Semester Examination; V – Viva; CCE-Continuous and Comprehensive Evaluation ; ALA-Active Learning Activities.

4 Credits * 25 Marks = 100 Marks (each credit carries 25 Marks)

SEE 100 Marks will be converted into 50 Marks

CCE 100 Marks will be converted into 50 Marks

It is compulsory to pass in each individual component



Course Content:

Sr. No	Course content	Hrs.	% Weightage
1	Introduction to Financial Markets Meaning, nature, and functions of financial markets, Structure of the financial system, Components of financial markets, Types of financial markets, Money Market, Capital Market, Foreign Exchange Market, Derivatives Market, Role of financial markets in economic development, Primary market vs. Secondary market, Key participants: investors, borrowers, intermediaries, regulators.	15	25
2	Financial Instruments Meaning and characteristics of financial instruments, Issue of Financial Instruments – Primary issue, book building process, private placement, offer for sale, buy back of shares – Money market instruments, Capital market instruments, Derivative instruments, Risk and return of financial securities	15	25
3	Financial Institutions Meaning and role of financial institutions, Types of financial institutions, Functions of financial institutions in mobilising savings and providing credit, Role of institutions in capital formation and economic growth.	15	25
4	Financial Market Regulation and Reforms Need for regulation of financial markets, Role of regulatory authorities in India: Reserve Bank of India (RBI), Securities and Exchange Board of India (SEBI), Insurance Regulatory and Development Authority of India (IRDAI), Stock exchanges and their functions, National Stock Exchange of India (NSE), Bombay Stock Exchange (BSE), Financial market reforms in India, Investor protection and market transparency.	15	25



Continuous Assessment:

Sr. No.	Active Learning Activities	Marks
1	Company Visit Visit a financial institution or stock exchange, prepare a detailed report using the Faculty-provided form, upload on GMIU Web Portal.	10
2	Case Study Analysis Analyze real-world cases such as IPO pricing, derivatives trading, or financial frauds, discuss in groups, and submit solutions online. Write answers to all questions in a PDF file and upload them on GMIU web portal.	10
3	Simulation of Stock Trading Participate in a simulated stock trading activity and submit a report with analysis of portfolio performance. Upload the invoice to the GMIU web portal.	10
4	Mini Research Project Group project on topics such as "Impact of Stock Market Volatility on Retail Investors" or "Role of NBFCs in SME Financing", with presentation and report upload. Upload the report to the GMIU web portal.	10
5	Financial instrument comparison Compare risk-return profiles of different financial instruments (e.g., equity vs. bonds) and submit reports. Upload the report to the GMIU web portal.	10
6	Regulatory Authority Analysis Prepare a report on the functions and recent actions of RBI, SEBI, or IRDAI. Upload the report to the GMIU web portal.	10
7	Attendance	10
Total		70



Suggested Specification table with Marks (Theory): 100

Distribution of Theory Marks (Revised Bloom's Taxonomy)						
Level	Remembrance (R)	Understanding (U)	Application (A)	Analyze (N)	Evaluate (E)	Create (C)
Weightage	20%	20%	30%	20%	10%	-

Note: This specification table shall be treated as a general guideline for students and teachers. The actual distribution of marks in the question paper may vary slightly from the above table.

Course Outcome:

After learning the course, the students should be able to:	
CO1	Explain the meaning, nature, functions, and structure of financial markets and institutions
CO2	Analyze the role of financial instruments in mobilizing savings, investment, and risk management..
CO3	Apply concepts of stock market operations, money market instruments, and derivative trading.
CO4	Demonstrate understanding of the regulatory framework, financial reforms, and investor protection mechanisms.

Instructional Method:

The course delivery method will depend upon the requirement of the content and the needs of students. The teacher, in addition to conventional teaching methods by blackboard, may also use tools such as demonstrations, role play, Quiz, brainstorming, MOOCs, etc.

From the content, 10% of the topics are suggested for flipped-mode instruction. Students will use supplementary resources such as online videos, NPTEL/SWAYAM videos, and e-courses. The internal evaluation will be done on the basis of an Active Learning Assignment.

Practical/viva examination will be conducted at the end of semester for evaluation of the performance of students in the laboratory.



Reference Books:

- [1] FINANCIAL INSTITUTIONS: markets and money. (2019). John Wiley & Sons.
- [2] G Ramesh Babu. (2005). Financial services in India. New-Delhi: Concept Pub. Co.
- [3] Madura, J. (2006). Financial markets and institutions. Thomson/South-Western.
- [4] SUBBALAKSHMI, D. S. (n.d.). Investor Behaviour Towards Mutual Fund Investment in Telangana State. Archers & Elevators Publishing House.

